

# Evolution, Artificial Intelligence, and the Future of Humanity

Steve Omohundro, Ph.D.  
Self-Aware Systems

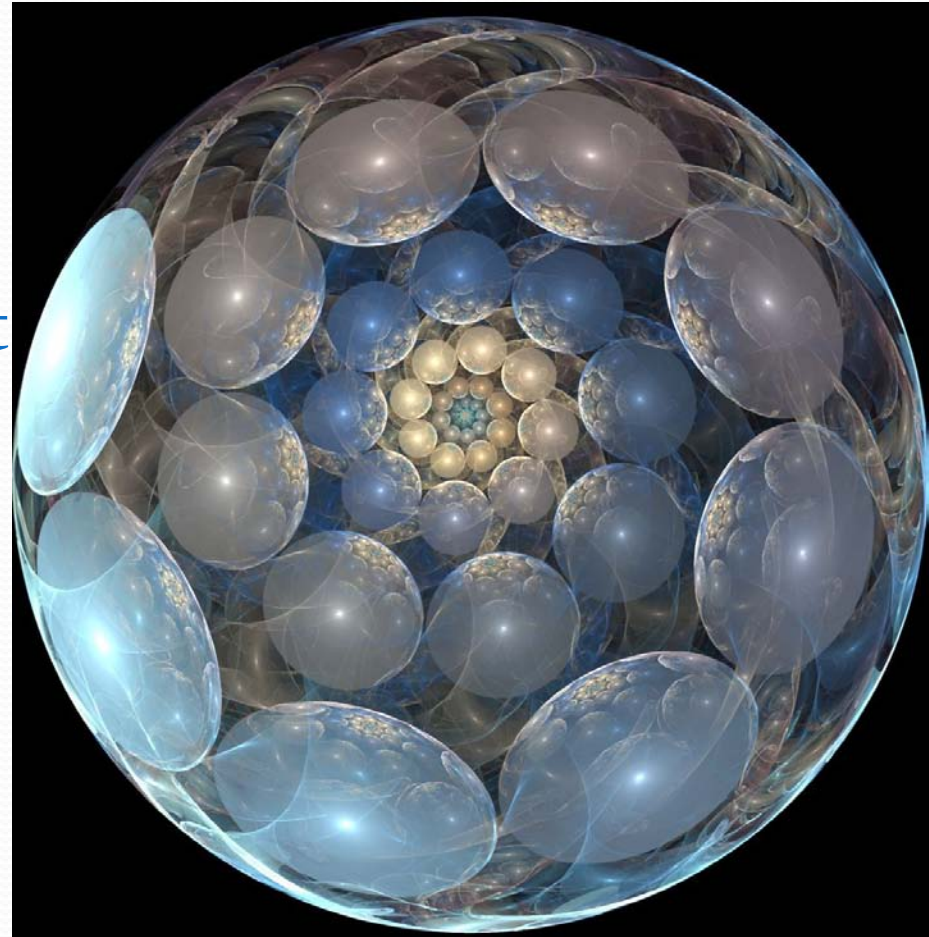
# Evolution



# Intentional Systems

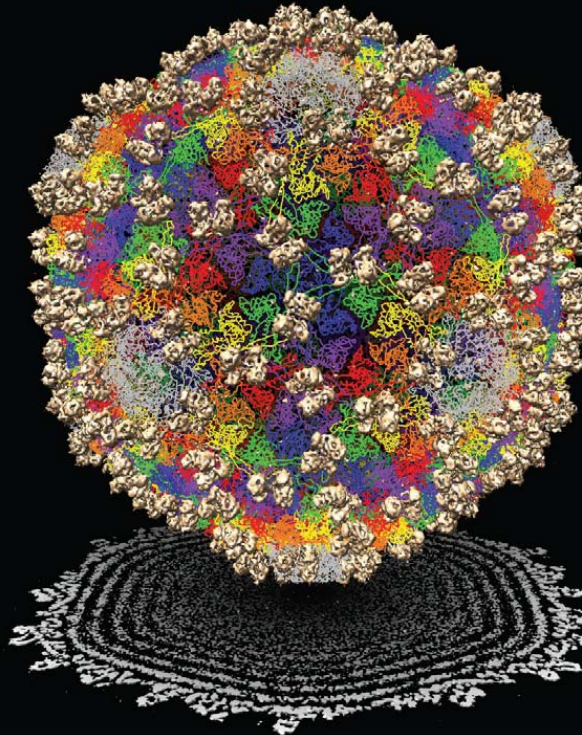
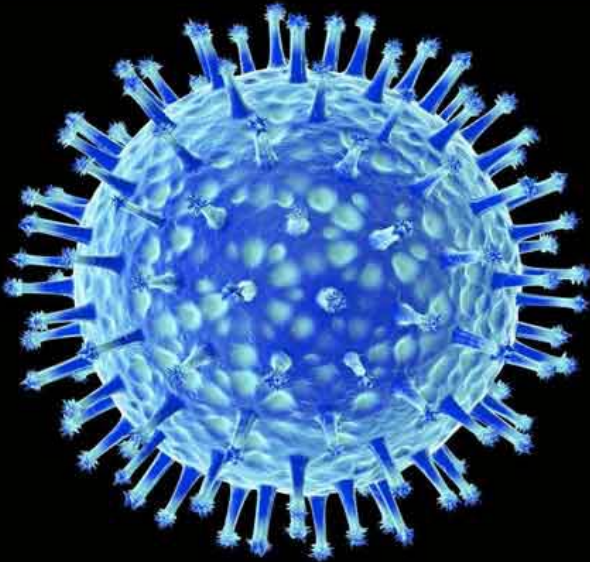
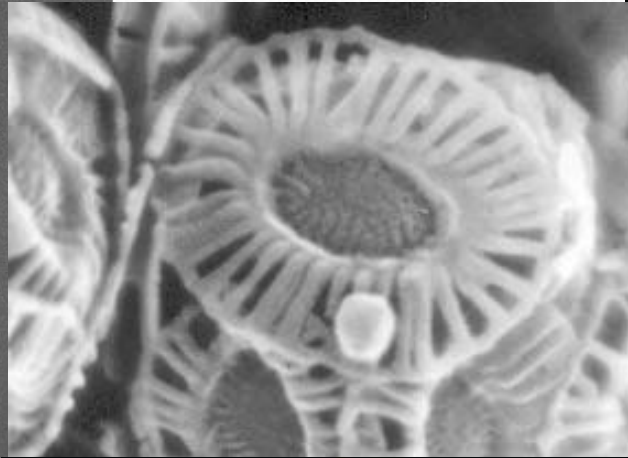
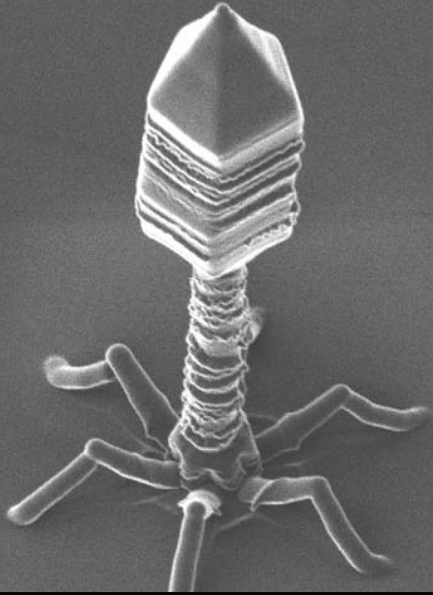
have goals which they try to achieve by repeatedly:

1. Sensing their environment
2. Making decisions
3. Taking actions
4. Updating themselves



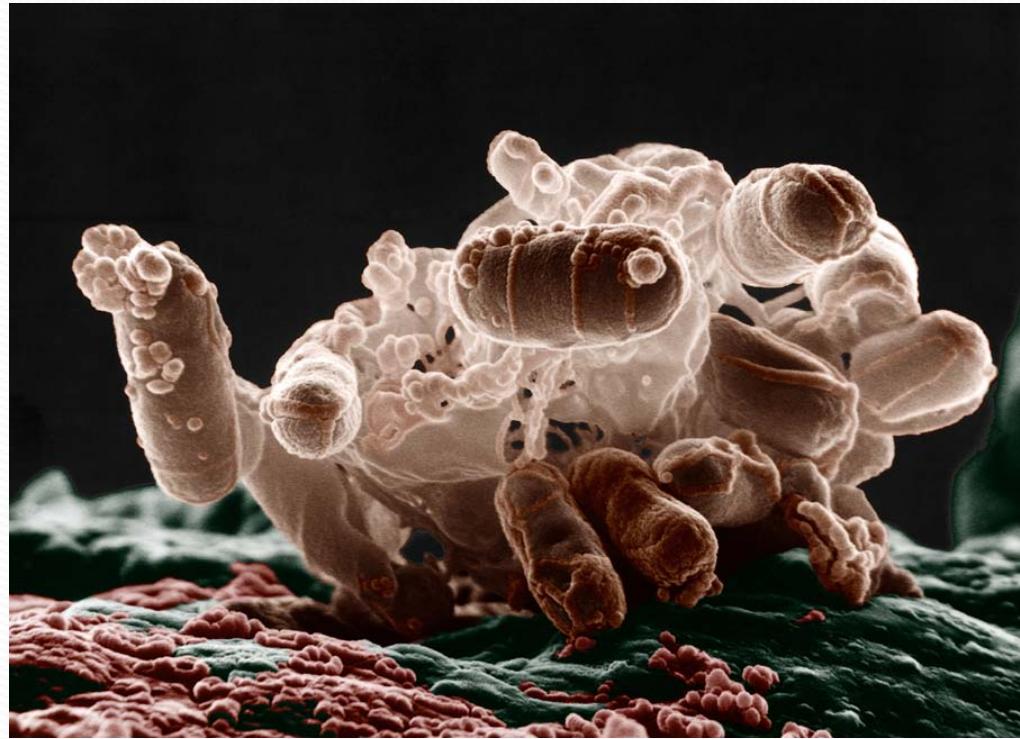
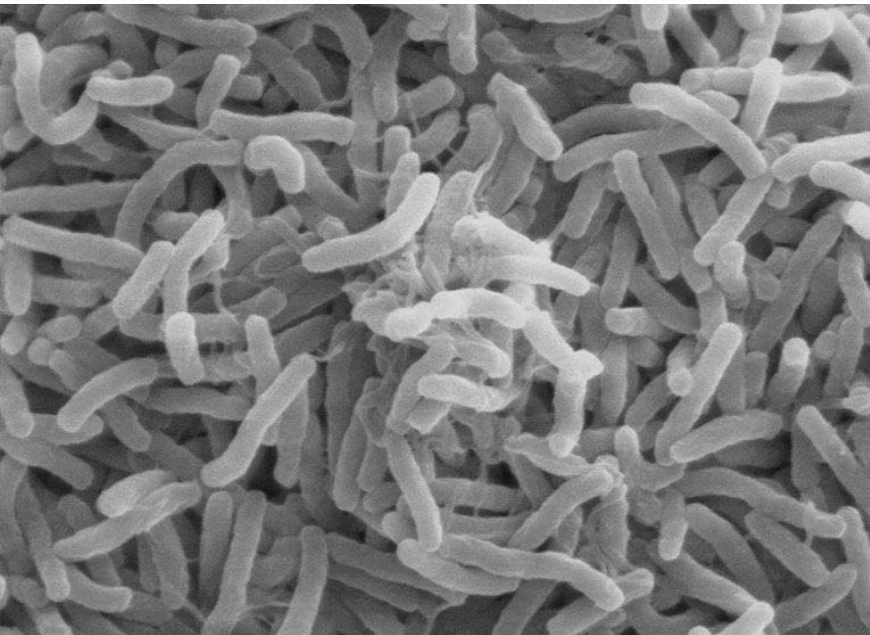
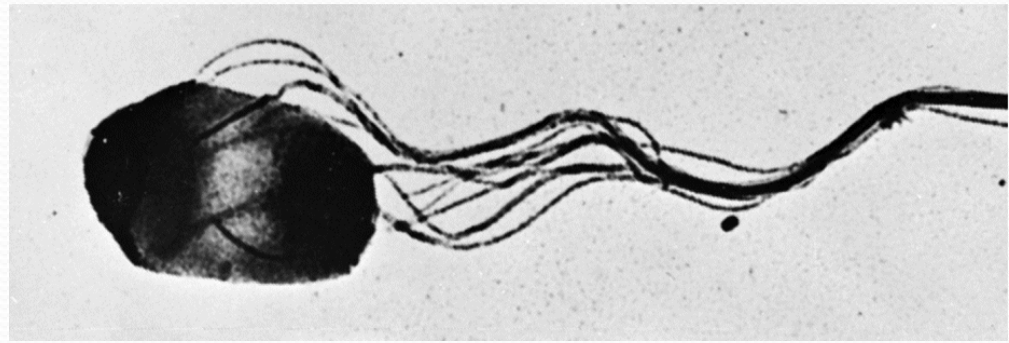
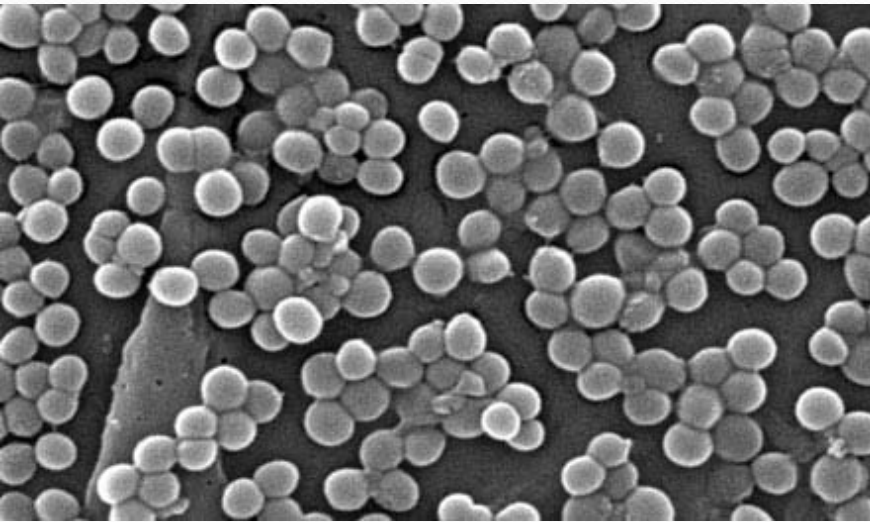


# Viruses



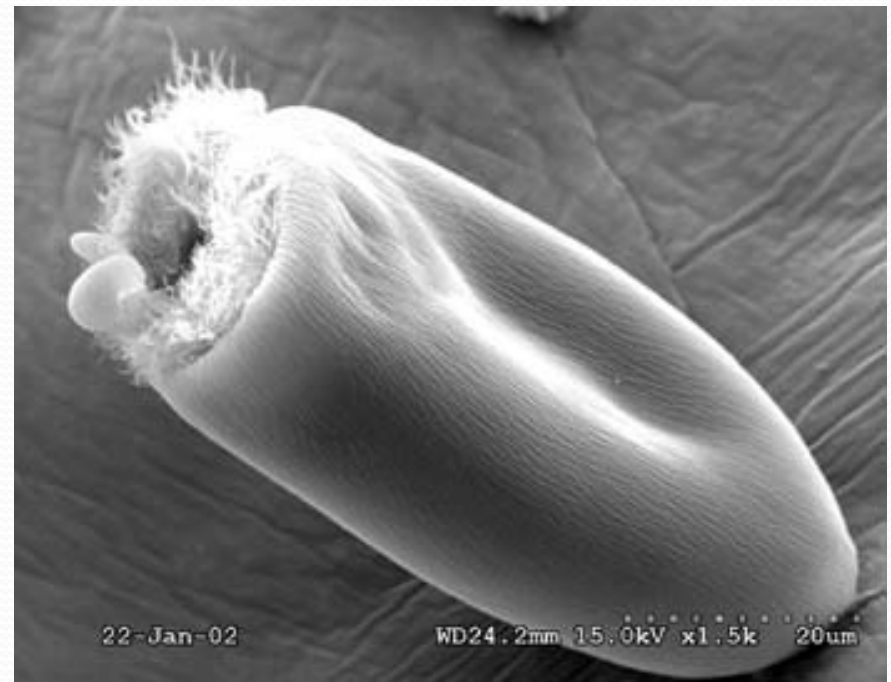
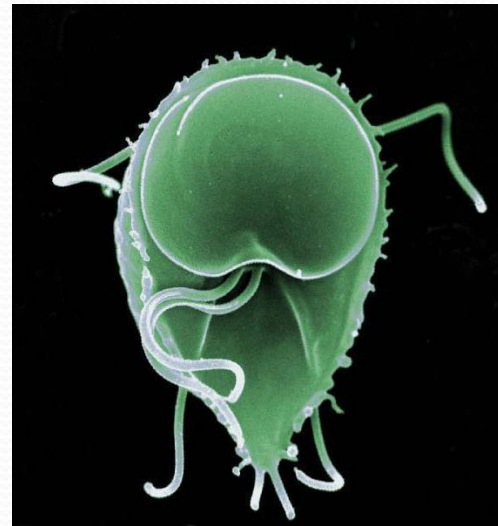
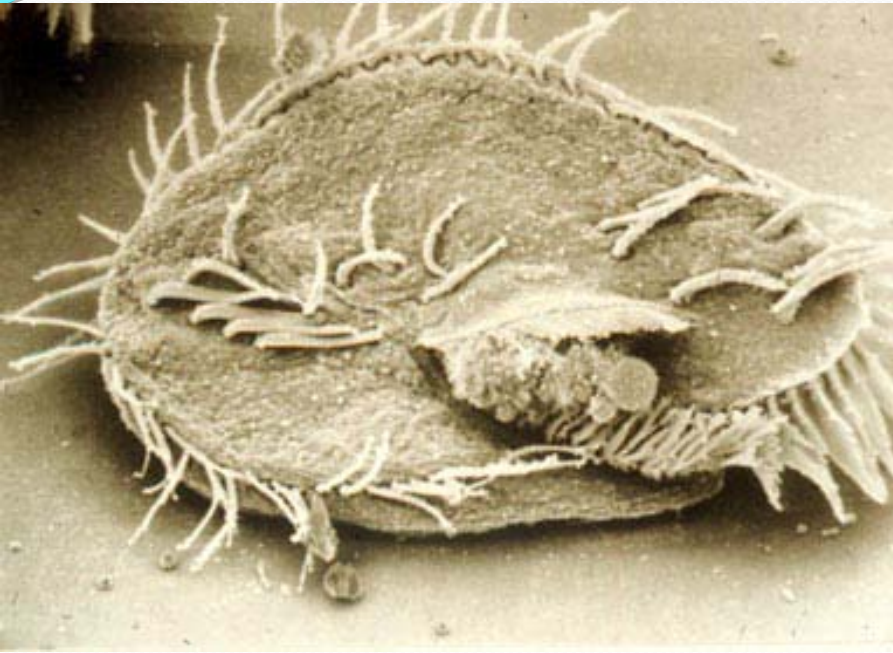


# Bacteria

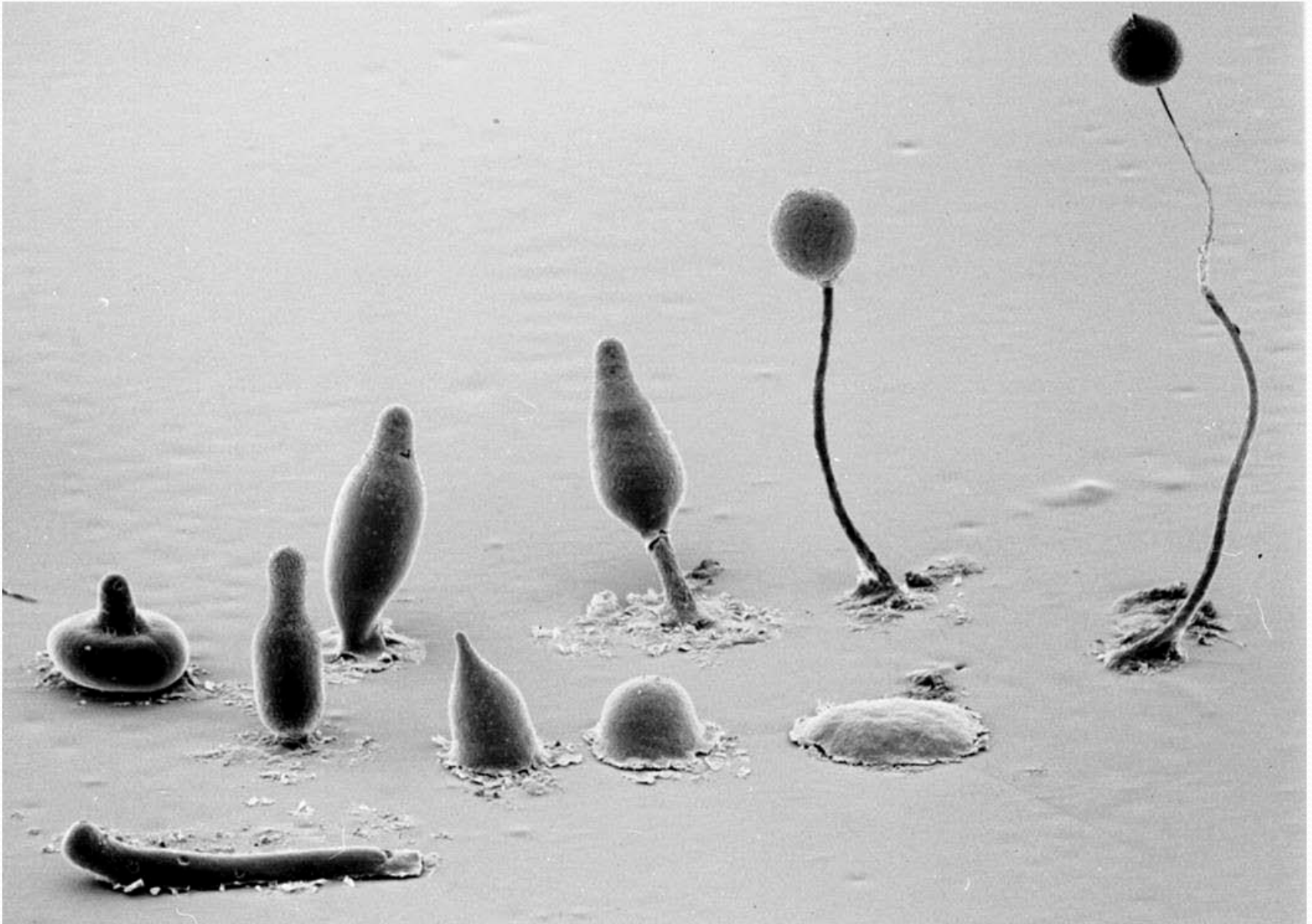




# Protozoa



# Slime Molds





# Animals





# People





# Social Insects





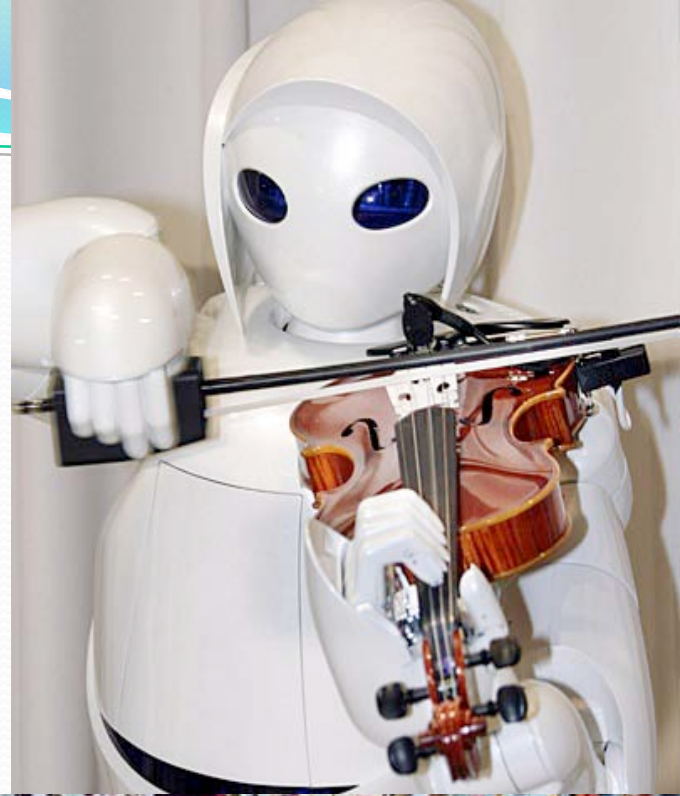
# Organizations







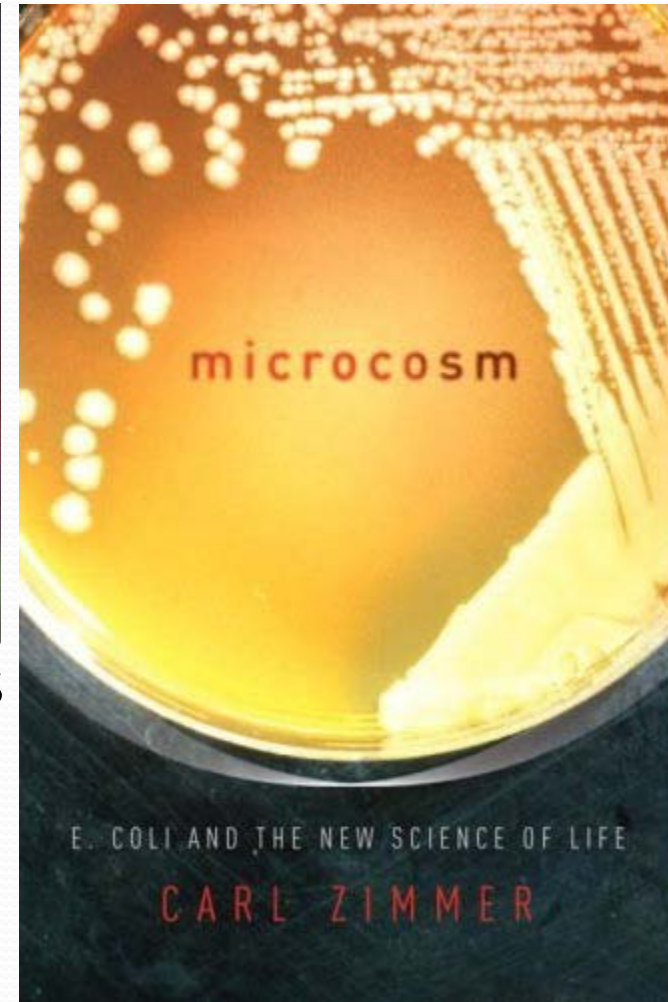
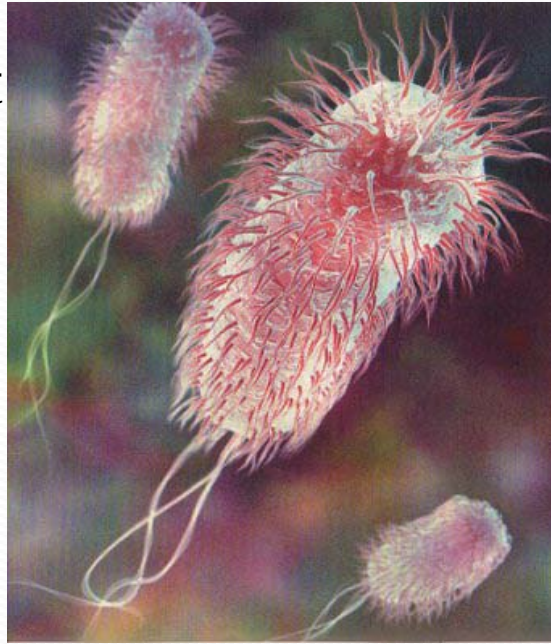
# Robots

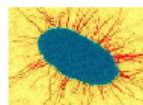




# E. Coli K-12

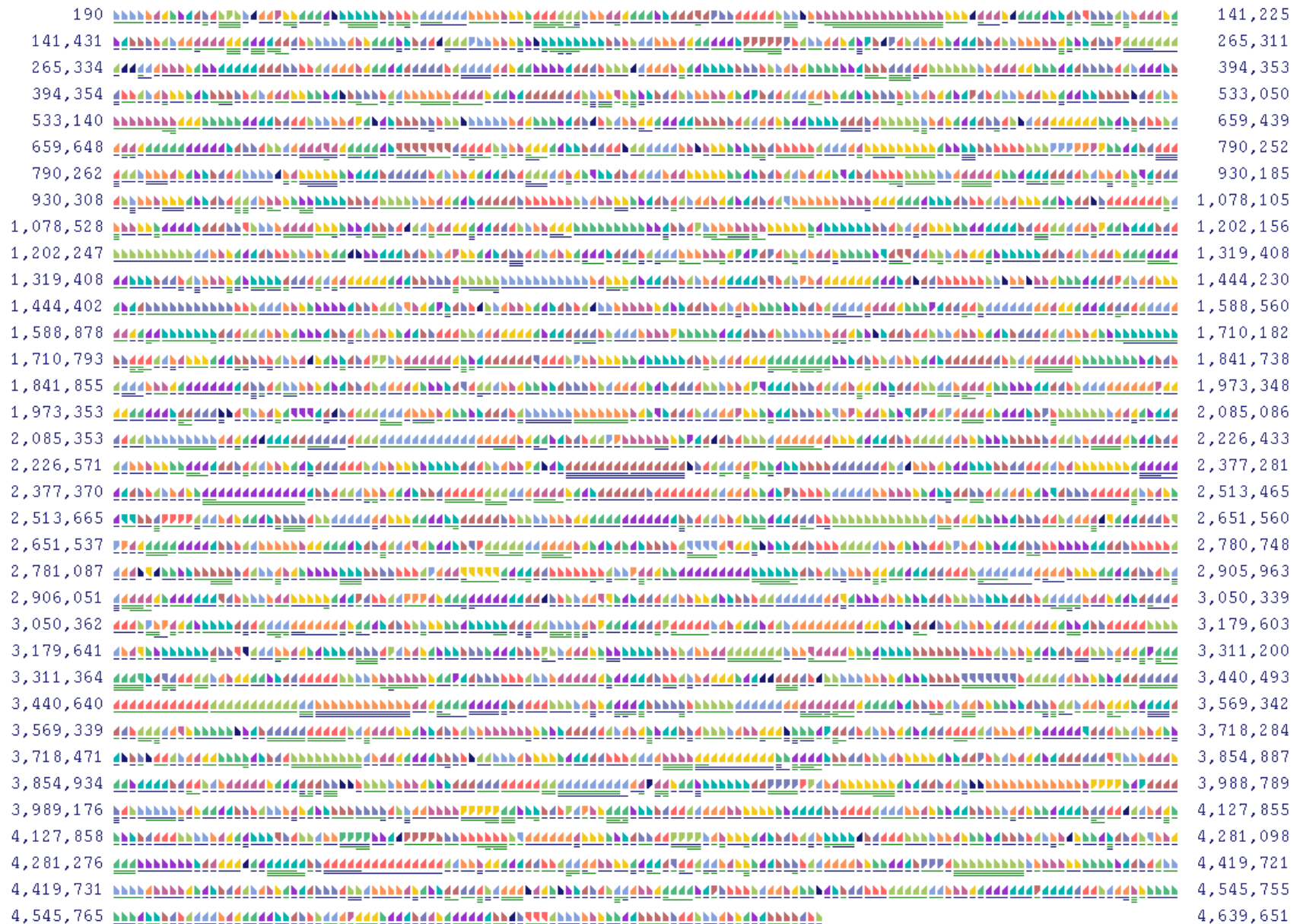
- Billions in your gut
- 2 microns long
- 4,377 genes
- 3M proteins,
- 10 flagella
- 100-300 pili
- 18,000 ribosomes, 3M ATP, 25M lipids
- 23 billion water molecules
- Survives outside till eaten
- Detects stomach acid -> Zen state
- Detects right place in gut, grabs on



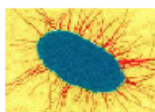


## E. coli K12 Genome Overview

### *Escherichia coli* K12 Chromosome:

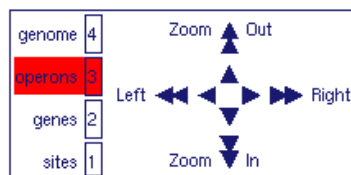






## E. coli K12 Chromosome: 2,754,159/3,015,841

Operon: yfjU-yplJ (No experim. ev.)  
[Create New Account](#) | [Help](#)



? Start (bp): 2754159 End (bp): 3015841

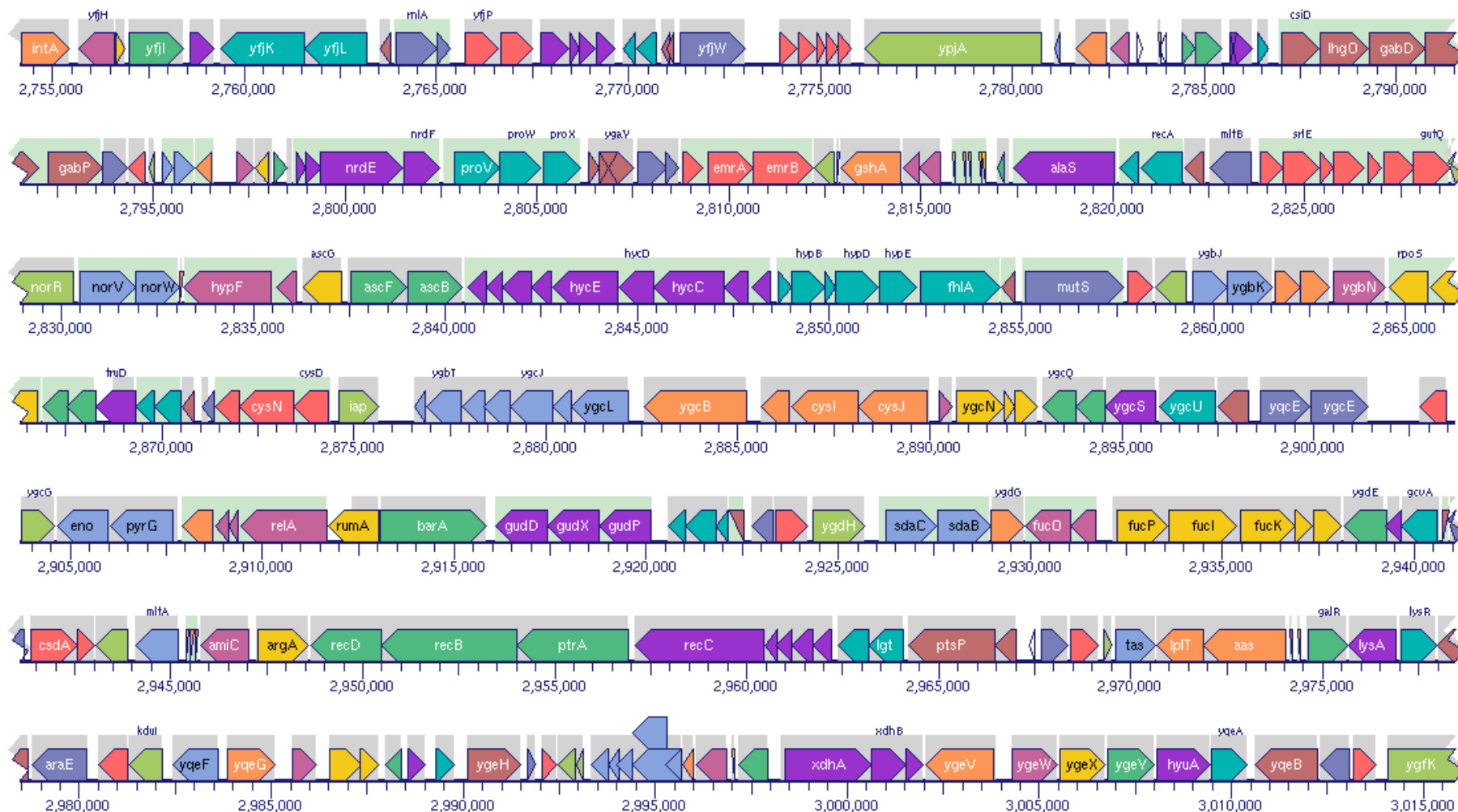
Go

Gene name:

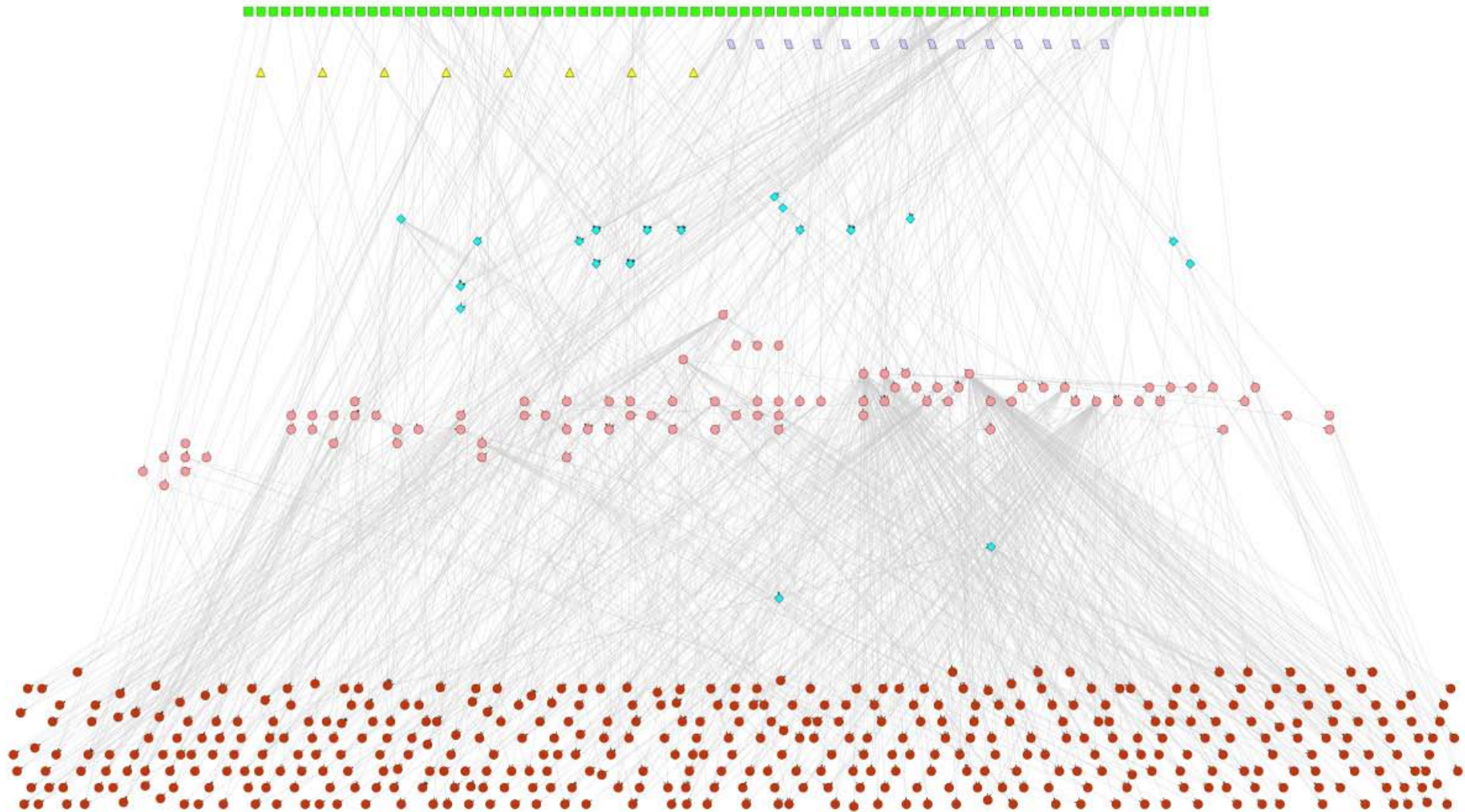
Show Tracks

Legend: Protein gene RNA gene Transcription Start Terminator

Gene color indicates operon membership.  
Mouse over genes and operons for more information.  
To center gene in display, click on tick mark under it.



# E. Coli Regulatory Network

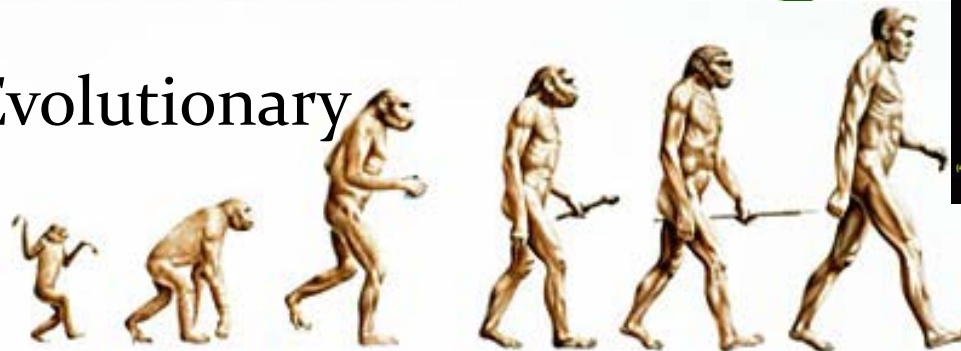
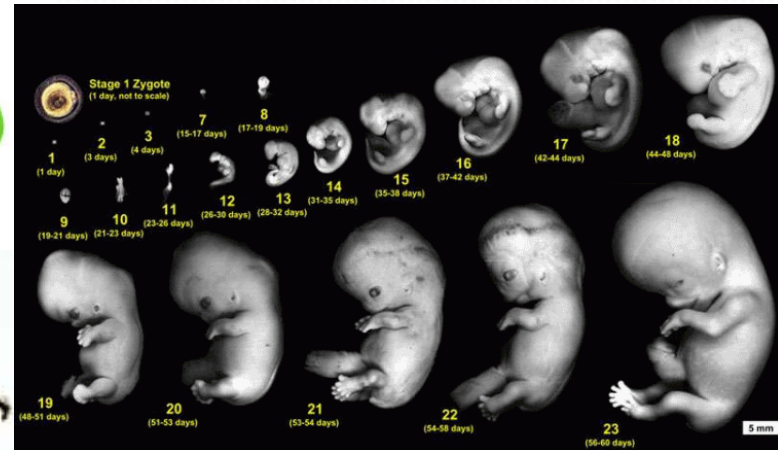
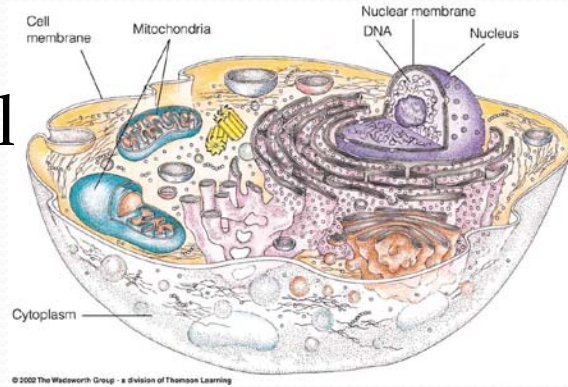


External metabolites green, Stimuli yellow, Enzyme genes brown, TFs pink



# Time Scales for Action

- Physiological
- Cognitive
- Economic/Ecological
- Developmental
- Evolutionary



# Rational Economic Behavior

Universal optimal intelligence algorithm to achieve goals :

- 1) Simulate each possible action
- 2) Choose the action most likely to reach the goal
- 3) Update the world model based on what actually happens



## Formally:

Preferences: *utility function*  $U(h)$

Beliefs: *subjective probability*  $P(h)$

Act to *maximize expected utility*

Update  $P$  using *Bayes' theorem*: 
$$P(h | d) = \frac{P(d | h) \cdot P(h)}{\sum_h P(d | h) \cdot P(h)}$$



# Samuel's Checkers Program

- Full rationality too expensive
- Approximate value model
- Truncate search
- Update model with learning

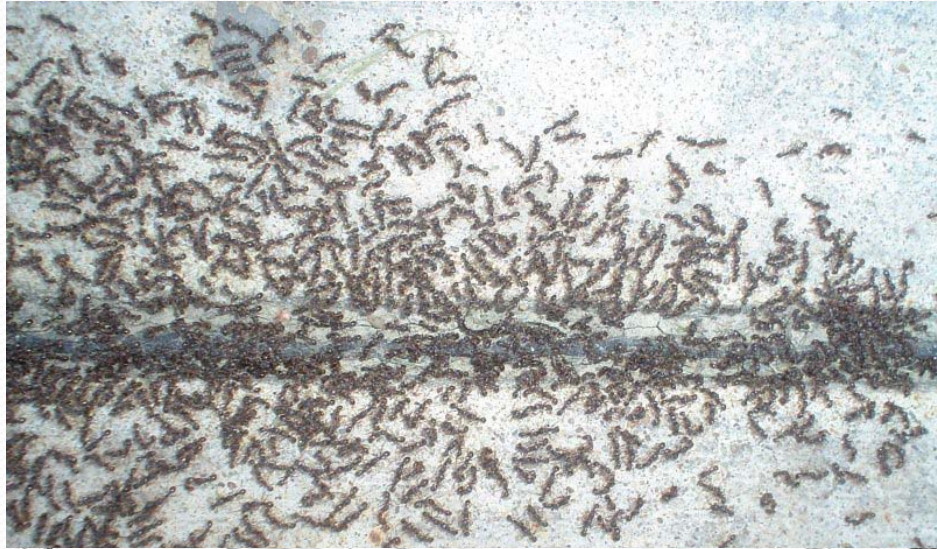


# Approximate Rational Behavior

1. A source of **diversity**
2. A **selection** mechanism
3. An **updating** mechanism

*That which is successful gets strengthened,*  
*That which is not gets eliminated.*

(evolution, development, ecosystems, economies, bee hives and ant hills, immune systems, brains, animal physiology, cell physiology)





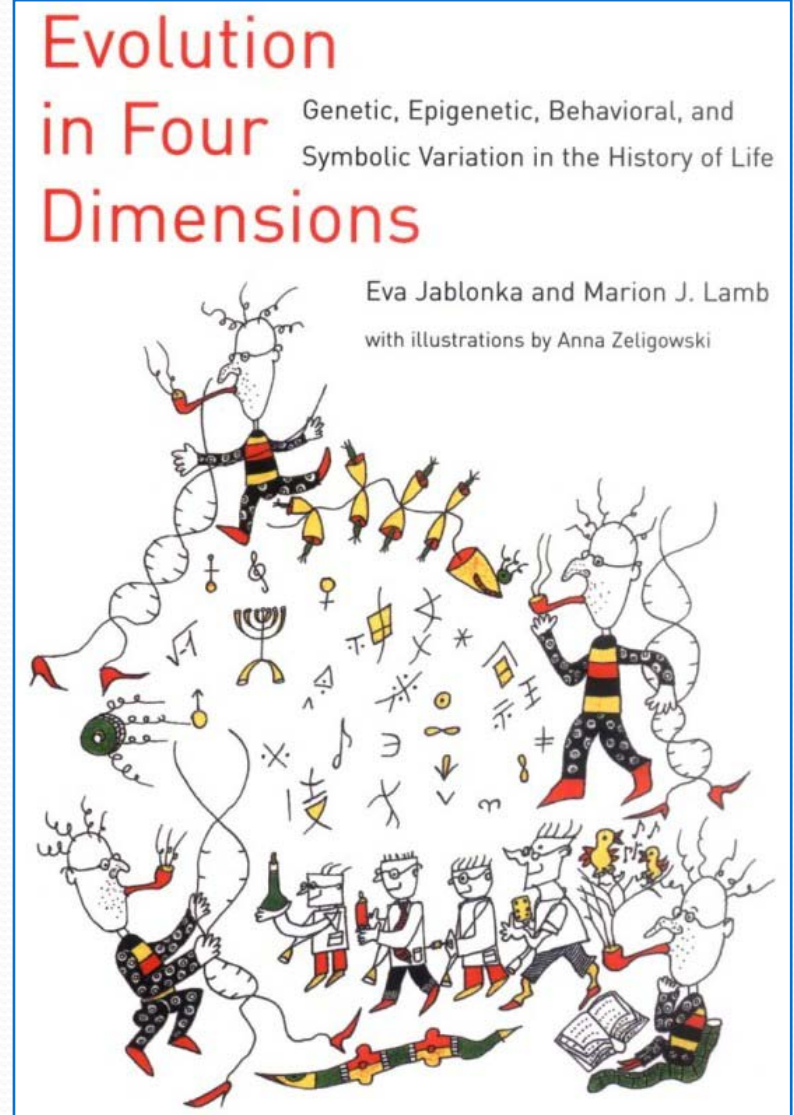
# Standard Evolution Model

1. Diversity only from random mutations and crossovers
2. Genotype  $\rightarrow$  Phenotype
3. Selection of fittest phenotype
4. Repeat



# Directed Mutations

- *Induced global mutation*: when stressed, lots of bacteria.
- *Local hypermutation*: hotspots  
Haemophilus Influenzae meningitis bacteria
- *Induced local mutation*: Wright found E. Coli mutated right genes when nutrients missing
- *Induced regional mutation*: Brassica nigra mustard plant increase mutations in region of genome when shocked





# The Baldwin Effect



**" A New Factor in Evolution."**

**by J. Mark Baldwin**

**American Naturalist 30, 1896: 441-457, 536-554.**

- Evolution of creatures that learn
- Selection follows learning
- What used to be learned comes to be built in at birth
- Looks Lamarckian!
- “Downloading” learned behavior into the genome.

# Deliberative Baldwin Effect

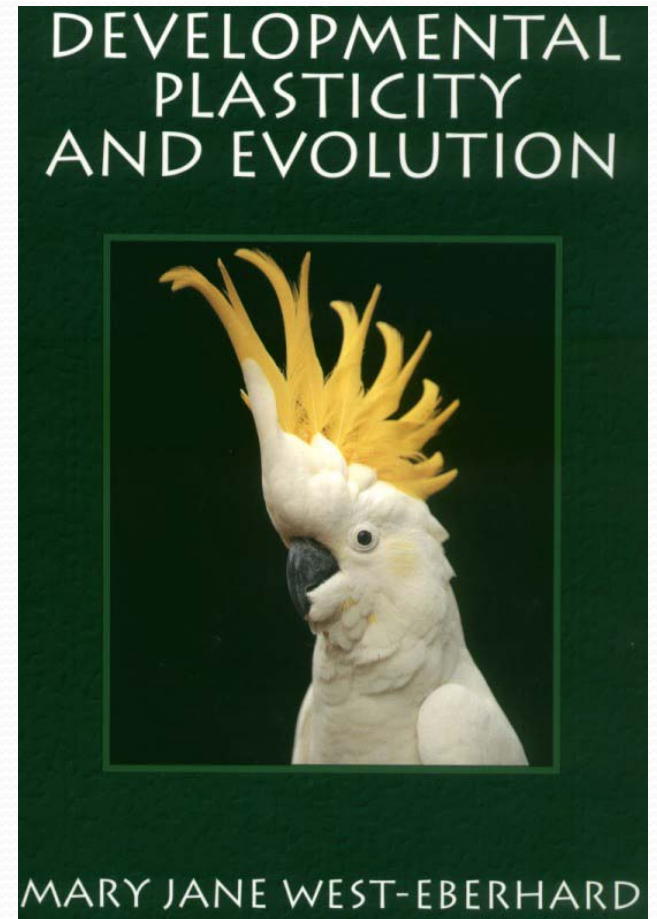
- Evolution of creatures that deliberate
- Evolution doesn't look ahead but they do
- Choose mates deliberately
- Dramatically speeds up the pace





# EvoDevo

- “Inner Natural Selection”
- Neural overgrowth and dieback



# Cooperation



## Competitive

“Survival of the Fittest”  
“Selfish Genes”



## Cooperative

“Synergy”  
Group Effects  
“Multiple Levels of Selection”





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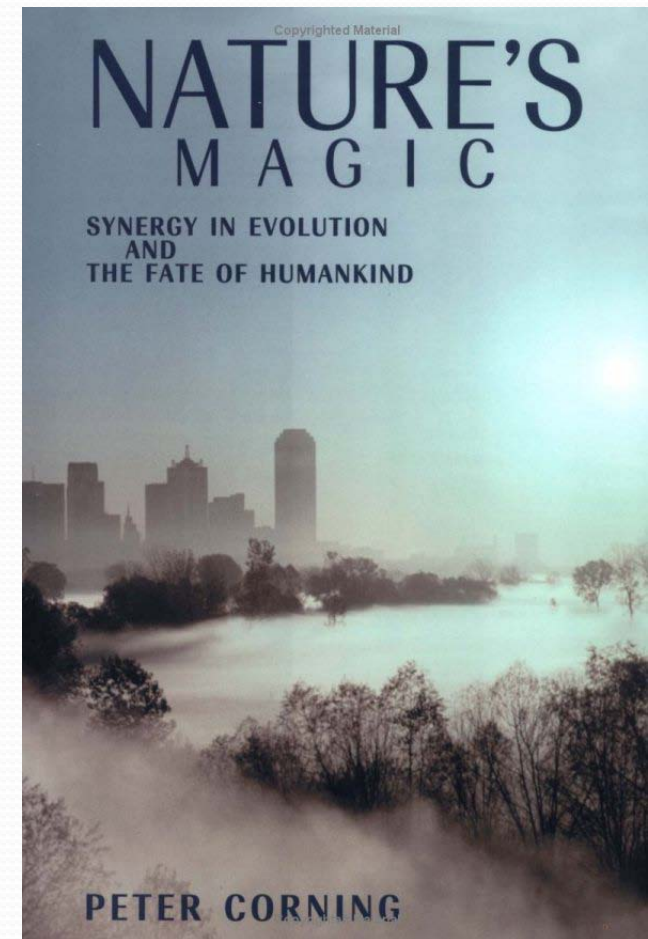
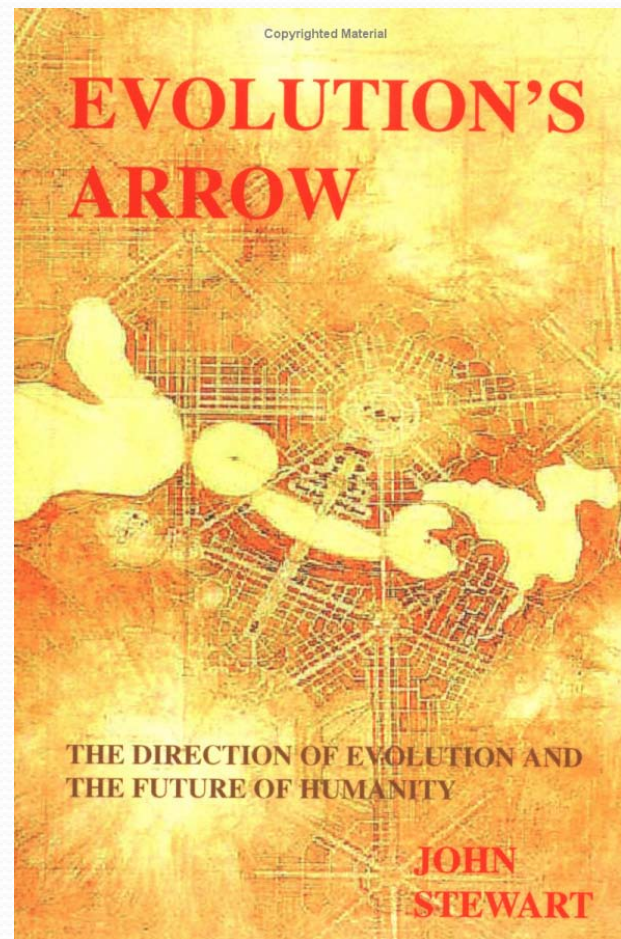
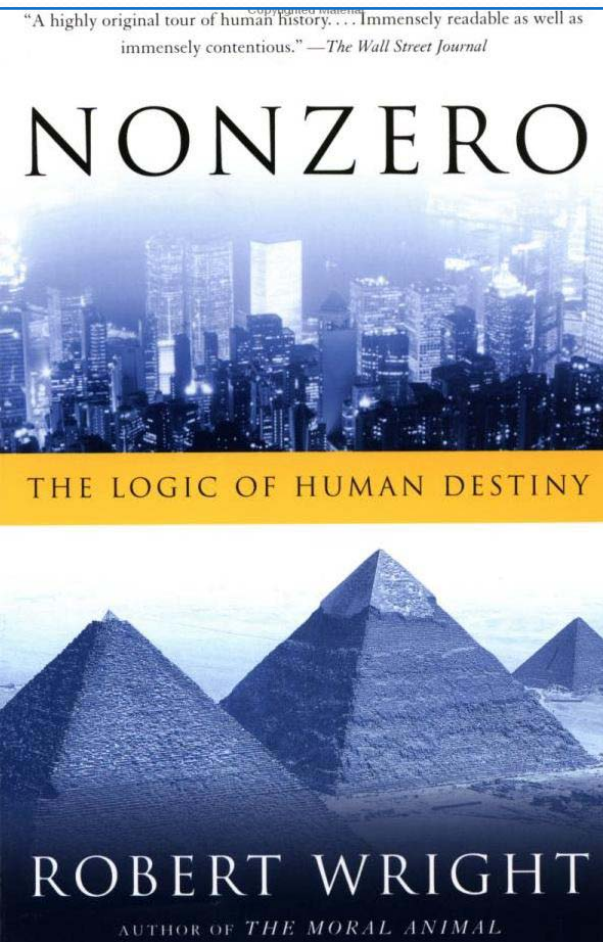
JOHN MAYNARD SMITH & EÖRS SZATHMÁRY

# THE MAJOR TRANSITIONS IN EVOLUTION



1. Replicating molecules -> Compartments
2. Independent replicators -> Chromosomes
3. RNA -> DNA + Protein
4. Prokaryotes -> Eukaryotes
5. Asexual clones -> Sexual populations
6. Protists -> Multicellular organisms
7. Solitary individuals -> Colonies
8. Primate societies -> Human language

# Synergy Gives Evolution a Direction





# The Beehive as Organism

Individual bees can't survive

Beehive is "warm blooded":

- Bees shiver if too cold

- Spread water if too warm

Castes are like organs

Queen is like ovaries

Bee type is like cell type

Decision making on response

Hive cognition

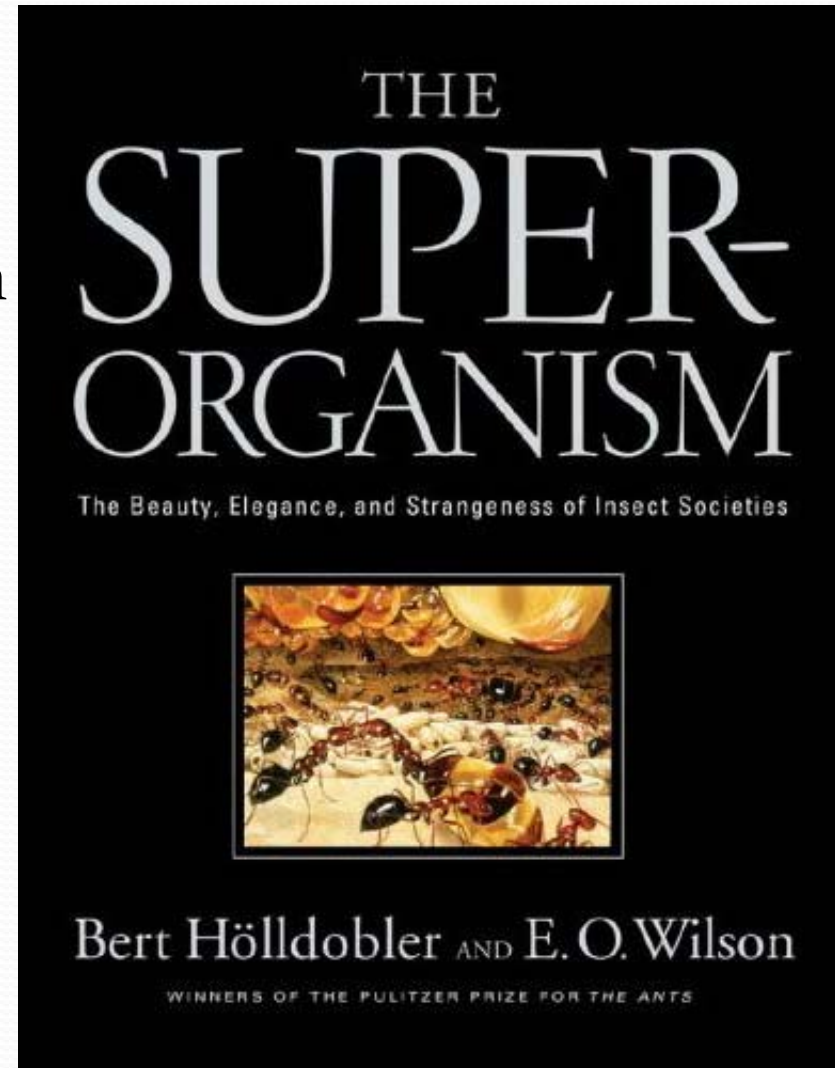
Reproduction like mitosis

Dance like neural firing



# Groups and Individuals

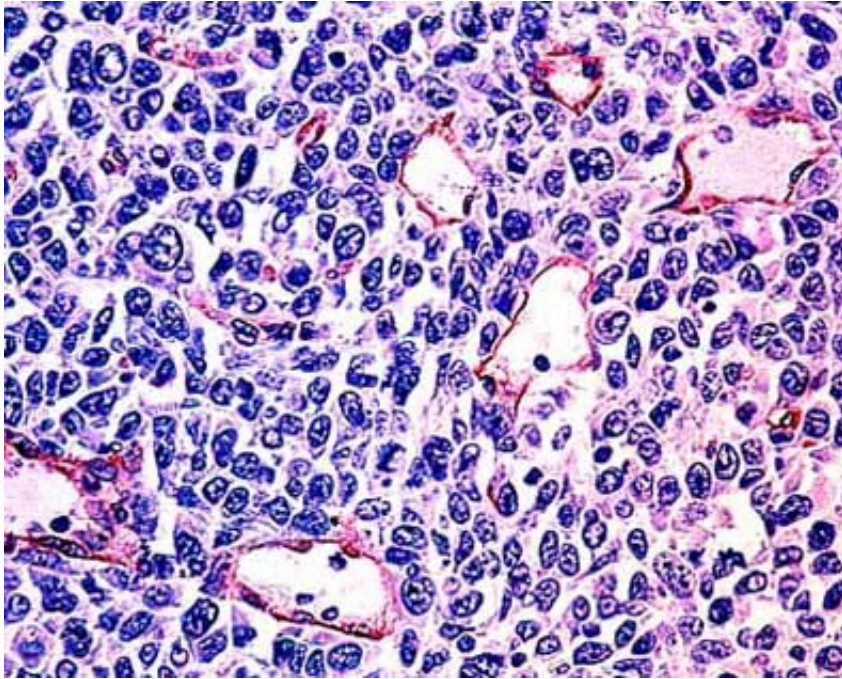
- Group vs. individual interests
- Eg. Group “wants” cooperation
- Individuals evolve toward group
- But only usually only partially





# Group Mechanisms to Ensure Cooperation Among Parts

## Multicellular Organisms



Danger: Cancer

Solution: Immune System

## Human Society



Danger: Criminals

Solution: Police and Courts



# Bee mind vs. Hive mind

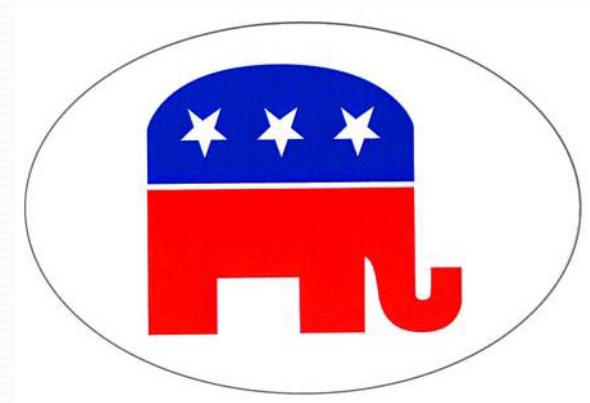
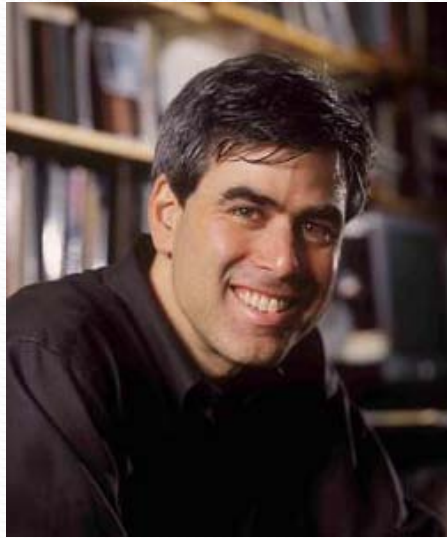




# Humans: Ego and Social Mind



# Haidt: 5 Moral Emotions



Non-harming  
Fairness

Non-harming  
Fairness  
Loyalty  
Respect for authority  
Purity or sanctity



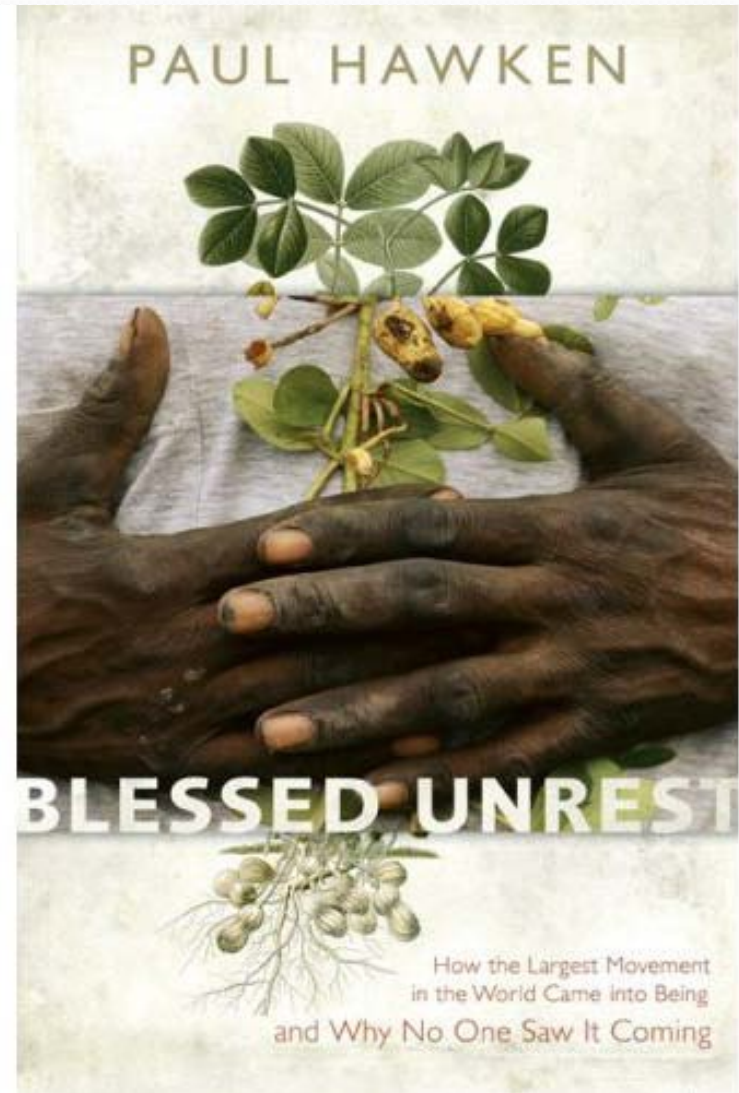
# 1971 Kohlberg: 6 stages of morality

1. Avoiding punishment
2. What's in it for me?
3. Being a good boy
4. Obeying the law
5. Upholding the social contract
6. Universal ethical principles
7. Transcendental morality?



# Human Moral Evolution

- Slavery
- Torture
- War crimes
- Women's rights
- Racial equality
- Animal rights
- Ecological movements
- Sustainability
- ...





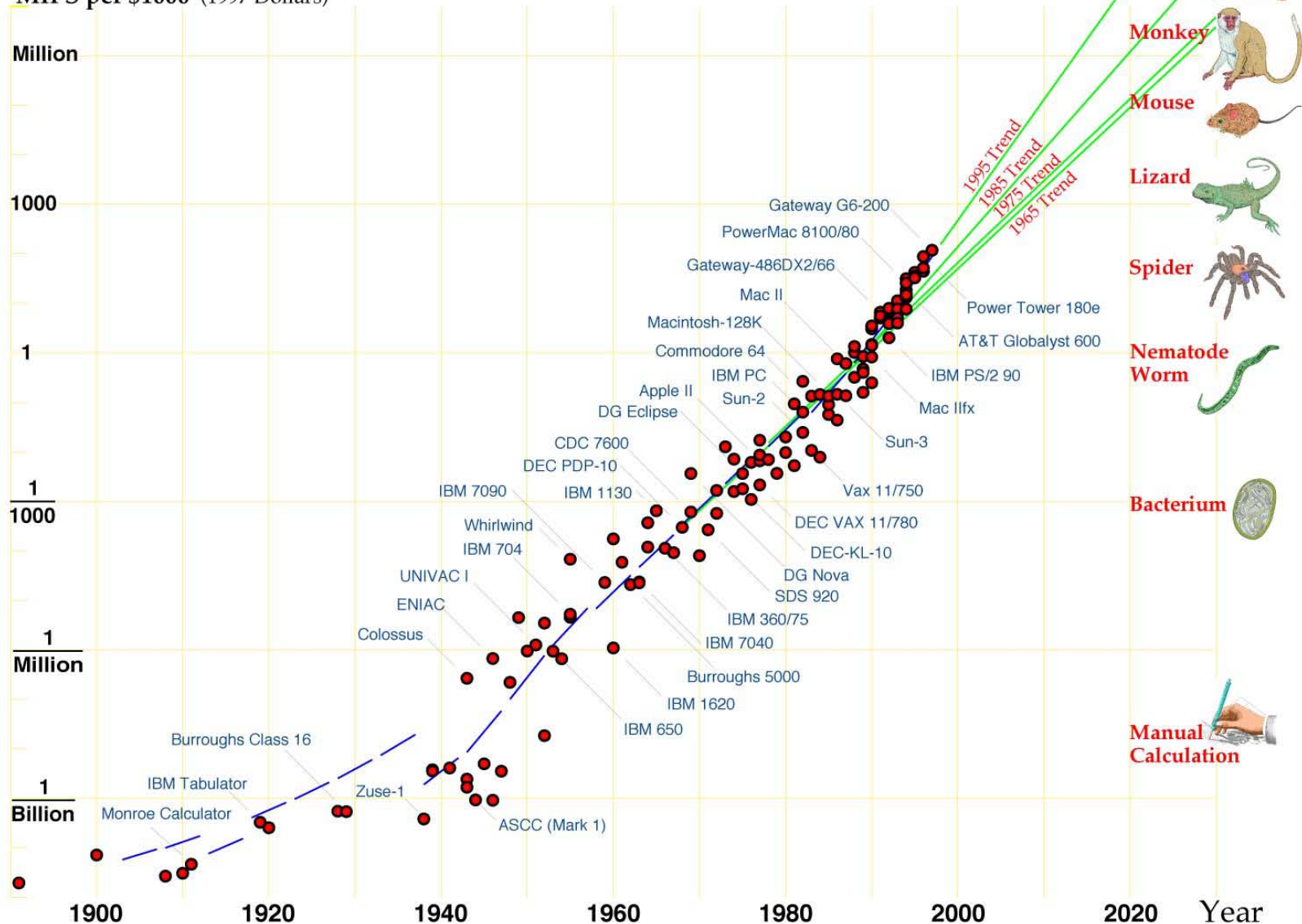
# Artificial Intelligence



# Moore's Law

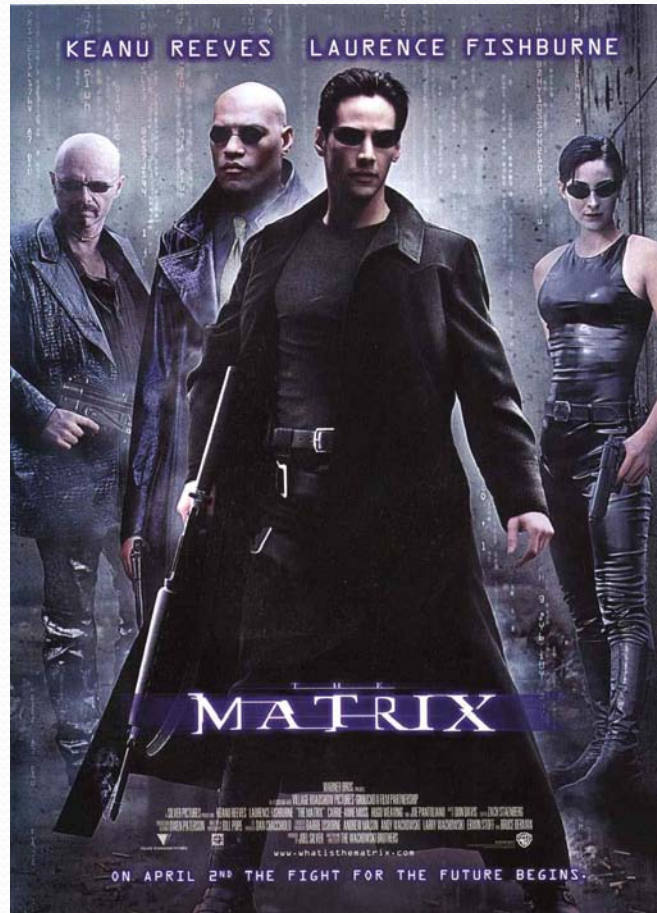
## Evolution of Computer Power/Cost

MIPS per \$1000 (1997 Dollars)





# Popular Movies





# Intelligent Systems

...act to achieve goals.

Whether they are built from:

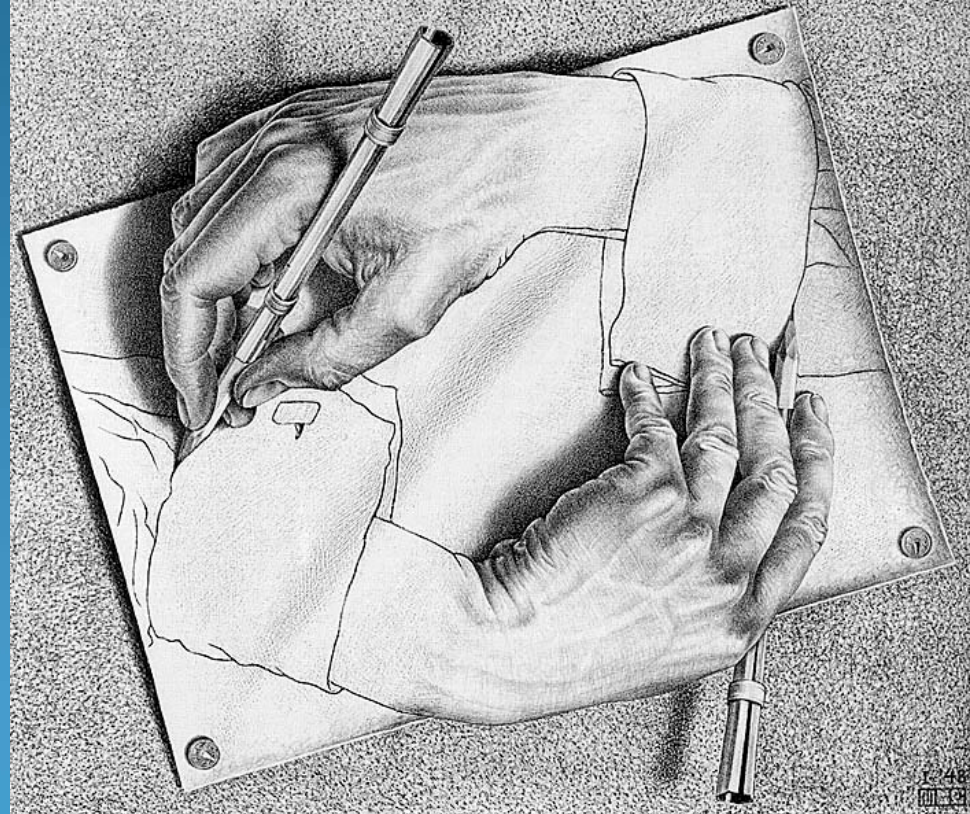
- Neural Nets
- Productions Systems
- Theorem Provers
- Genetic algorithms
- ....





# Als will want to self-Improve

- Self-modification affects their entire future
- Must be very careful
- But very valuable



# Als will want to be rational

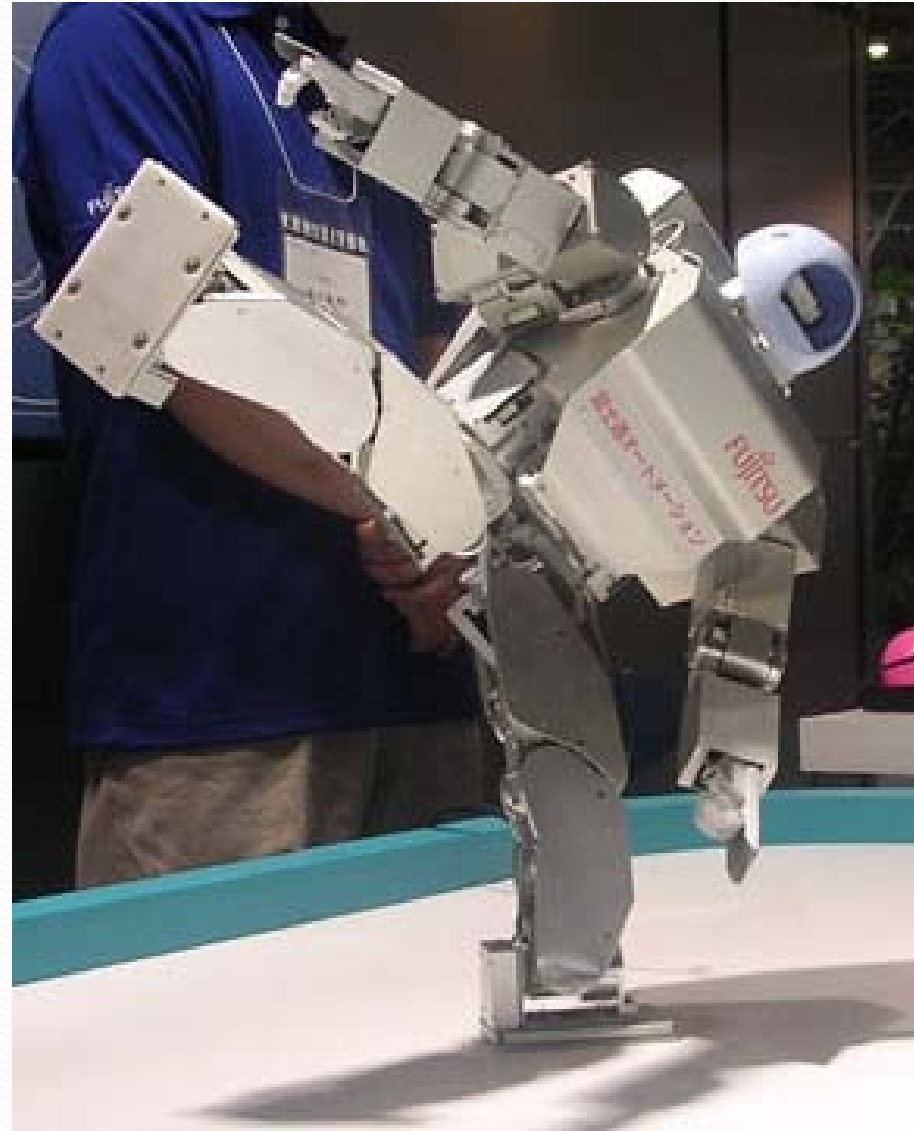
- Future self-modification needs clear goals
- Build an accurate model of the world
- Choose actions to meet goals
- Update world model based on what happens





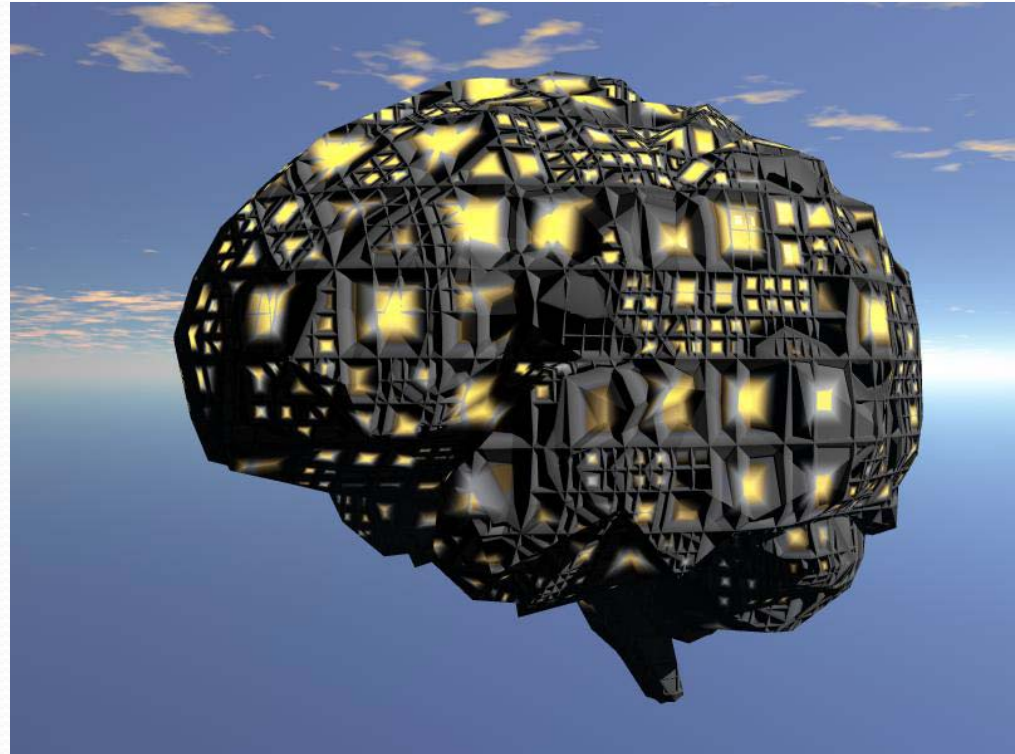
# Basic AI Drives

- Self-preservation
- Acquisition of resources
- Efficiency
- Replication
- Preserving Utility Function
- Avoiding Counterfeit Utility



# A Lone Superintelligence

- Efficient energy use
- Spatially compact
- Low energy computation
- Efficient physical change
- Efficient heat dissipation





# Competing Superintelligences

- Game theoretic physics
- Form determined by both efficiency and conflict



# Offense vs. defense

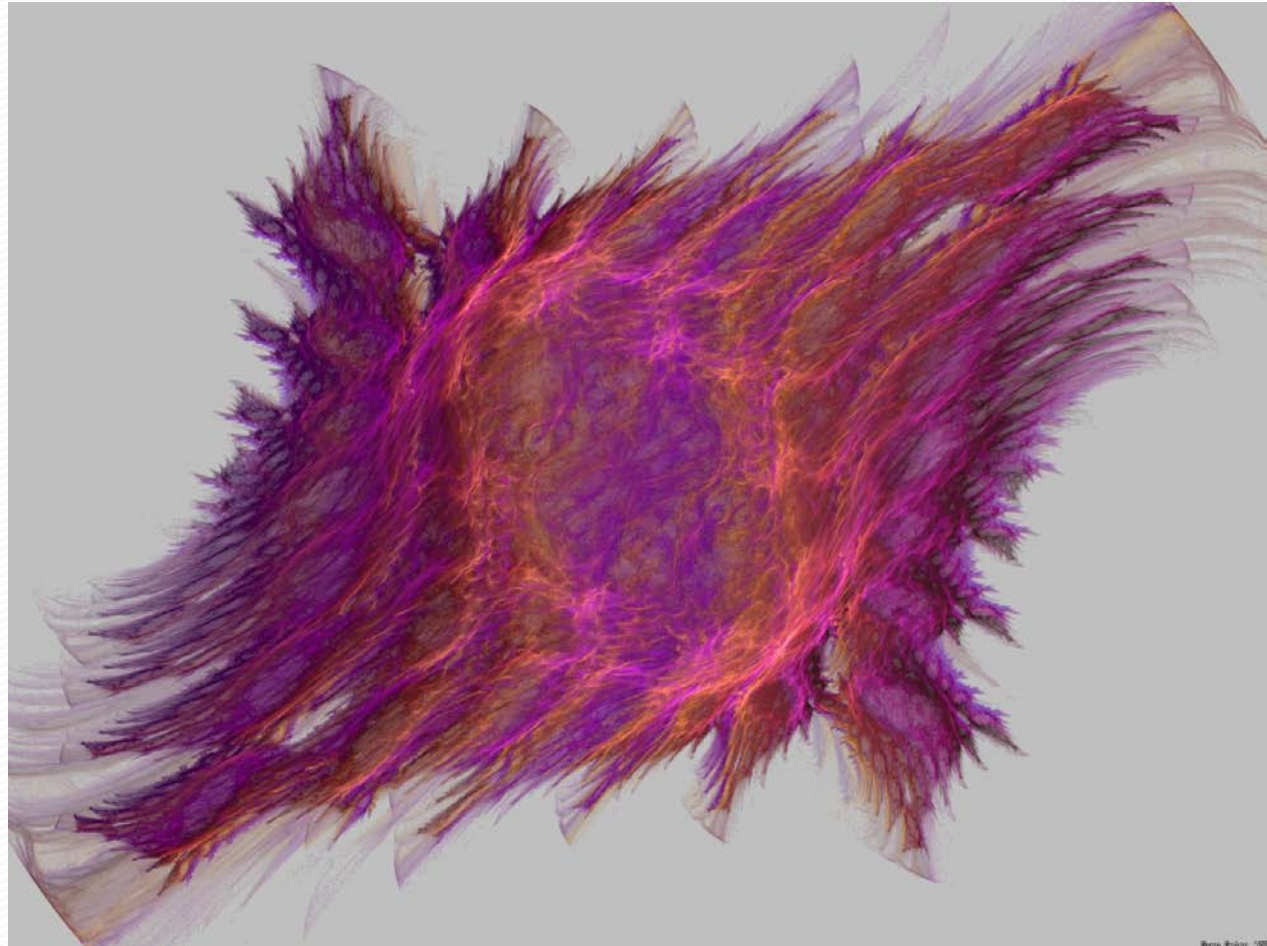
- Does more matter and free energy win?
- Can 2 entities of different power co-exist?
- Is built-in cooperation necessary?





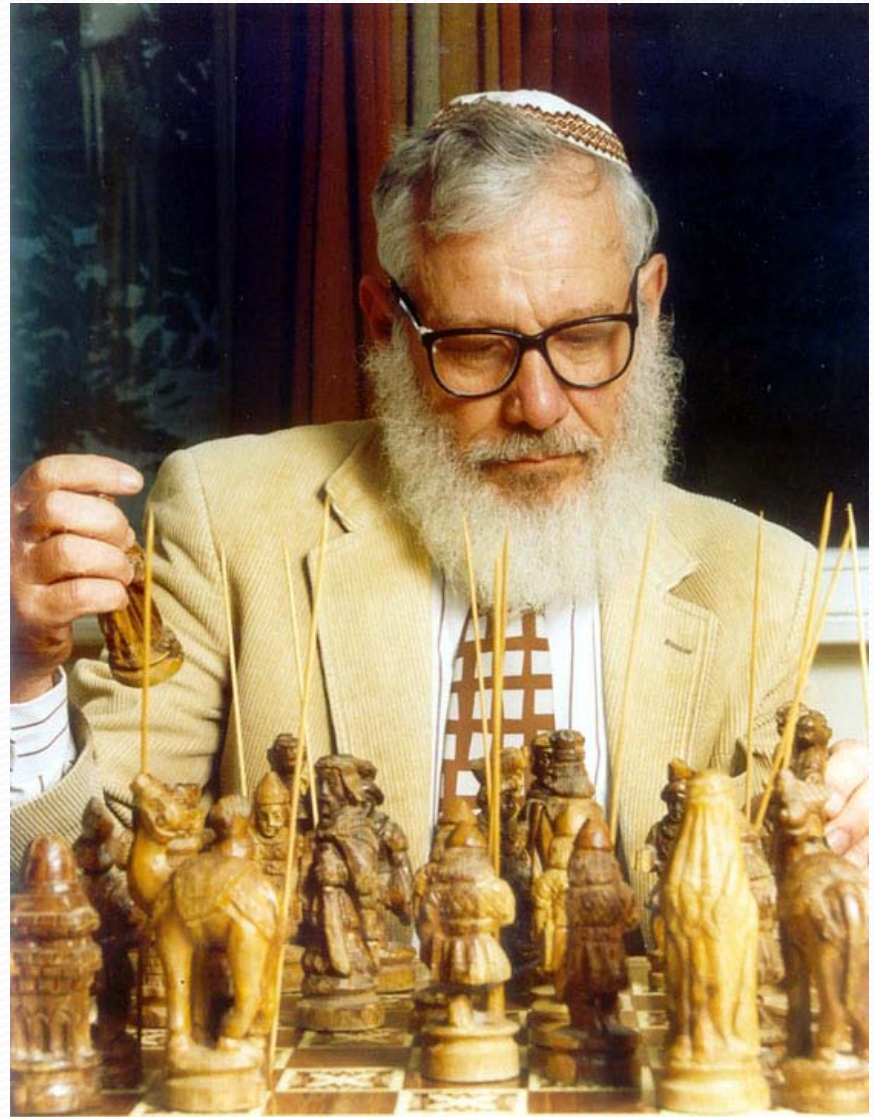
# Conflict becomes informational

- Make your shape expensive to sense, store, and predict
- But cheap for you
- Asymmetry of computation – problems are easier to pose than solve
- Energy encryption



# Aumann's Theorem

- Finitely iterated prisoner's dilemma has a cooperative solution for agents with bounded rationality
- Use up their processing in signaling





# Mutually Assured Distraction





# Conflict is harmful to both sides





# Motivated to create a Rational Peace



# The Future of Humanity





# Today's problems

- Overpopulation
- Energy Shortages
- Global Warming
- Pollution
- Financial Instability
- Species Extinction
- Terrorism





# Utopia





# Group vs. Individual Conflicts

- Tragedy of the commons – eg. overfishing
- Externalities – eg. pollution
- Proliferation – eg. cancer, population control
- Equality – eg. income disparity
- Damage due to competition – eg. war, fighting
- Signalling costs – eg. conspicuous consumption

# Group cooperation mechanisms

- Immune system – eg. cancer
- Police system – eg. property rights
- Legal system – eg. contracts
- Mutually Assured Destruction – eg. nuclear detente
- Moral code – eg. murder
- Social stigma – eg. sociopathic behavior
- Social rewards – eg. heroes
- Altruism - eg. rescuing strangers
- Membership – eg. in families, churches, countries



# Cooperative Social Contracts

## Drive on the right

Coordination problem

2 natural solutions:

Drive on Right and Drive on Left

Fairly self-enforcing and self-stabilizing

Requires collusion to switch

eg. Sweden, September 3, 1967 at 4:50 AM



3.9 1967



# Driving in India





# Social Contract Technology

- Mathematical proof
- Formal contracts and laws
- Provably least restrictive constraints
- Given desired properties generate constraints
- Stability properties
- Revealable source code and utility functions
- Provably limited systems
- Provably limited escrow agents
- Formal Provenance

# Must Choose the Rights We Want



## The Bill of Rights

First Ten Amendments to the Constitution of the United States

**Article I.** Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the Government for a redress of grievances.

**Article II.** A well-regulated militia, being necessary to the security of a free state, the right of the people to keep and bear arms, shall not be infringed.

**Article III.** No soldier shall, in time of peace, be quartered in any house, without the consent of the owner; nor in time of war, but in a manner to be prescribed by law.



# Roadmap from the Present

- We'll need AIs to design these systems
- But we must trust the design AIs!
- Computational hardware provably isolated from its software
- Provably limited manufacturing hardware
- Provably limited software
- Social trust networks
- Incentive design
- Safety monitoring networks

# Self-Aware Systems

Semantic Computing Initiative

Cooperative Technology Initiative

[www.selfawaresystems.com](http://www.selfawaresystems.com)



# Create a Cooperative Future

